IAP15 Rec'd PCT/PTO PT0 1390 (111112006)006
Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL LETTER TO THE UNITED STATES			ATTORNEY'S DOCKET NUMBER				
DESIGNATED/ELECTED OFFICE (DO/EO/US)			STAN-297 U.S. APPLICATION NO. (If known, see 37 CFR 1.5)				
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE			10/552,949 PRIORITY DATE CLAIMED				
US2004	/011533	April 14, 2003					
TITLE OF INVENTION KERATIN 8 AND 18 MUTATIONS ARE RISK FACTORS FOR DEVELOPING LIVER DISEASE OF MULTIPLE ETIOLOGIES							
APPLICANT(S) FOR DO/EO/US  M. BISHR OMARY and NAM-ON KU							
Applicant	here with submits to the United States Design	ated/Elected Office (DO/EO/US) the	following items and other information:				
1.	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.						
2.	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.						
3. 🗖 .	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated be low.						
4. 🛛	The US has been elected (Article 31).						
5. 🔲	A copy of the International Application as filed (35 U.S.C. 371(c)(2)))						
	a. is attached hereto (required only if n	not communicated by the International	l Bureau).				
	b.  has been communicated by the Inte	rnational Bureau.					
	c. is not required, as the application was filed in the United States Receiving Office(RO/US)						
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c) (2)).						
	a. is attached he reto.						
	b. has been previously submitted unde	r 35 U.S.C. 154(d) (4).					
7. 🔲	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))						
	a. are attached her eto (required only if	f not communicated by the Internation	nal Bureau).				
	b.  have been communicated by the International Bureau.						
	c. have not been made; however, the time limit for making such amendments has NOT expired.						
	d. have not been made and will not be	made.					
8. 🗌	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).						
9. 🛛	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).						
10. 🗌	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).						
Items	11 to 20 below concern document(s) or int	formation included:	·				
11. 🗌	An Information Disclosure Statement under 3	37 CFR 1.97 and 1.98.					
12. 🗌	An assignment document for recording. A s	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
13. 🔲	A preliminary amendment.						
14. 🔲	An Application Data Sheet under 37 CFR 1.76.						
15. 🗌	A substitute specification.						
16. 🗌	A power of attorney and/or change of address letter.						
17. 🔲	A computer-readable form of the sequence listing in accordance with PCT Rule 13 ter.2 and 37 CFR 1.821 - 1.825.						
18. 🗌	A second copy of the published International Applic ation under 35 U.S.C. 154(d) (4).						
19. 🔲	A second copy of the English language translation of the internatio nal application under 35 U.S.C. 154(d)(4).						
20. 🛛	Other items or information: Return recelpt postcard; USPTO Credit Card Form 2038; Communication to USPTO re: Claim Surcharge						

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the complete form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 2

PTO-1390 (Rev. 02-2005)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)		FR 1.5)	INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER	
	10/552,949			US2004/011533			STAN-297	
	The following fees are submitted: 21. Basic national fee (37 CFR 1.492(a))\$3						CALCULATIONS	PTO USE ONLY
				\$300.00	\$	<u> </u>		
	22. Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)					\$		
						\$0 O as an\$100.00 e or previously\$400.00	\$	
	TOTAL OF 21, 22 and 23 =  Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets or paper or fraction thereof.					\$		
	Total Sheets	Extra sheets		each additional 50 or fractound up to a whole number		RATE	:	}
	- 100 =	/50 =				X \$250.00	\$	1
	Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the ear priority date (37 CFR 1.492(e)).				\$ 130.00			
	CLAIMS	NUMBER	FILED	NUMBER EXTRA		RATE	\$	
	Total Claims		- 20 =		>	<b>\$50.00</b>	\$	
	Independent Claim s		- 3=	_	х	\$200.00	\$	
	MULTIPLE DEPENDEN	NT CLAIMS(S) (if ap	plicable)		+	\$360.00	\$	
	TOTAL OF ABOVE CALCULATIONS =						\$ 130.00	
	Applicant claims small entity status. See 37 CFR 1.27. The fees in dicated above are reduced by 1/2.							
	SUBTOTAL					JBTOTAL =	\$ 65.00	
	Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).						\$	
				TOTAL !	OITAN	NAL FEE =	\$ 65.00	
	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$	
				TOTAL FE	ES EN	ICLOSED =	\$ 65.00	
08/03/2006 A	TRAN1 00000126 10	552949			•		Amount to be refunded:	\$
01 FC:1617		130.00 OP					Amount to be charged:	\$
	a. A check in the amount of \$ to cover the above fees is enclosed.							
		ge my Deposit Accou opy of this sheet is e		5 in the amount of \$	_ to cove	er the above fees	i.	
				rge any additional fees wh A duplicate copy of this sl		•	redit any	
	d. Fees are to be charged to a credit card. WARNING: Information on this form may become pu information should not be included on this form. Provide credit card information and authorized NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to granted to restore the International Application to pending status.  SEND ALL CORRESPONDENCE TO:							
							(37 CFR 1.137(a) or (b)) must be filed and	
							ule Shurwood	
	Bozicevic, Field & Francis, LLP 1900 University Avenue, Suite 200 East Palo Alto  SIGNA  Ramel NAME						a J. Sherwood	
	California 36,677					TRATION NUMBER		

PRIORITY DATE

04/14/2003



## JNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

PCT/US04/11533

I.A. FILING DATE

04/14/2004

\*OC000000019473221\*

FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO. ATTY. DOCKET NO. 10/552,949 **STAN-297** M Bishr Omary INTERNATIONAL APPLICATION NO.

24353 BOZICEVIC, FIELD & FRANCIS LLP 1900 UNIVERSITY AVENUE SUITE 200 EAST PALO ALTO, CA 94303

**CONFIRMATION NO. 1285 371 FORMALITIES LETTER** 

Date Mailed: 07/05/2006

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 10/13/2005
- Copy of the International Search Report filed on 10/13/2005
- Preliminary Amendments filed on 10/13/2005
- Information Disclosure Statements filed on 11/17/2005
- Small Entity Statement filed on 10/13/2005
- U.S. Basic National Fees filed on 10/13/2005
- Priority Documents filed on 10/13/2005
- Specification filed on 10/13/2005
- Claims filed on 10/13/2005
- Abstracts filed on 10/13/2005
- Drawings filed on 10/13/2005

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Bozicevic, Field, & Francis

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$280 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are - due.?" erica ir adedati e
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27,
must be submitted with the missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$345 for a Small Entity:

- \$65 Surcharge.
- Total additional claim fee(s) for this application is \$ 280
  - \$100 for 4 total claims over 20.
  - \$180 for multiple dependent claim surchare.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

**VONDA M WALLACE** 

Telephone: (703) 308-9140 EXT 225

## PART 1 - ATTORNEY/APPLICANT COPY

	<u> </u>	
U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/552,949	PCT/US04/11533	STAN-297

FORM PCT/DO/EO/905 (371 Formalities Notice)